

## Project Concept Phase

Version **Call for Projects 2023**

Submission Deadline: **31 July 2023, 3:00 pm (CEST)**

The form in the Open Application Platform (OAP) should be filled out in English language.

EXAMPLE

## 1 General Information

<b>1.1 Project Data</b>	Project title	
	Country of implementation	
	Sector focus <i>(if you apply with a cross-sector project, please indicate the priority sector your cross-sector project is linked to)</i>	<input type="checkbox"/> Industry <input type="checkbox"/> Transport <input type="checkbox"/> Energy
	Envisioned total funding volume from the Mitigation Action Facility (EUR 5-25 million)	EUR XX million
	Envisioned duration of the project implementation (36-66 months)	XX months
<b>1.2 What is the project about?</b> In one sentence	<p><i>Please describe what the project wants to do in a single sentence. (e.g. The project supports country X to install at least 50MW of roof-top PV systems in low-income households and to promote other renewable energy applications in the housing sector.)</i></p>	
<b>1.3 What will have changed at the end of the project?</b> In one sentence	<p><i>Please describe what will have changed through the project at the end of its implementation. (e.g. Prices for small PV systems in country X have decreased through wide availability and enhanced competition on the PV market resulting in the affordability of small PV systems for certain low-income household segments without further support, while the government has expanded the coverage of the support programme to include additional RE applications and additional target groups.)</i></p>	
<b>1.4 National Ministry /-ies</b>	Name of responsible national line Ministry	<i>Please indicate the name of the national ministry responsible for the sector of the proposed project.</i>
	Department	
	Have any consultations with regard to the project idea been held with the Ministry? [~25 words]	Yes / No <i>If "yes", indicate what kind of consultations have taken place.</i>
	Name of the national Ministry responsible for issues of environment and climate change	<i>Please indicate the name of the national ministry responsible for climate change mitigation (incl. coordination of the UNFCCC process).</i>
	Department	
	Have any consultations with regard to the project idea been held with the Ministry? [~25 words]	Yes / No <i>If "yes", indicate what kind of consultations have taken place.</i>
<b>1.5 Applicant</b>	Name of institution	<i>Please indicate the name of the entity.</i>
	Type of institution	International, National, Local
	Legal form	An NGO, a UN organisation, a Micro Finance Institution (MFI) / Multilateral Development Bank (MDB), a bilateral cooperation agency, a governmental entity or a commercial entity
	Number of staff	1-20; 21-50; 51-100; more than 100
	Website link	
	Role in the project	<i>Please specify the role in the project preparation and if applicable project implementation.</i>
	<b>1.6 Main project partners</b>	<b>Name of organisation 1</b>
Type of organisation 1		International, National, Sub-national

[National/sub-national institutions taking a lead in the preparation and implementation of the project. ]	Legal form of organisation 1	An NGO, a UN organisation, a Micro Finance Institution (MFI) / Multilateral Development Bank (MDB), a bilateral cooperation agency, a governmental entity or a commercial entity
	Role of organisation 1 in the project	<i>Please specify the role in the project implementation.</i>
	<b>Name of organisation 2</b>	<i>Please name project partner (governmental or non-governmental).</i>
	Type of organisation 2	International, National, Sub-national
	Legal form of organisation 2	An NGO, a UN organisation, a Micro Finance Institution (MFI) / Multilateral Development Bank (MDB), a bilateral cooperation agency, a governmental entity or a commercial entity
	Role of organisation 2 in the project	<i>Please specify the role in the project implementation.</i>

## 2 Project Concept

<b>2.1 Starting situation</b> [~ 200 words]	<i>Please briefly outline the starting situation.</i>
<b>2.2 Barrier analysis</b> [~ 300 words]	<i>Please describe <b>what is blocking the situation from changing.</b> List and describe - in the order of importance - <b>the key barriers</b>, which are preventing a transformation of GHG emission trends in the (sub-)sector(s) targeted by the project. The barriers should cover the following areas at minimum: economic, financial, technical, regulatory, behavioural/cultural, structural barriers.</i>
<b>2.3 Project rationale</b> [~ 500 words]	<ul style="list-style-type: none"> <li>▪ <b>Objectives of the project</b> [150 words]: <i>Outline the project's objectives (impact(s) and outcome(s)). What will be different at the end of the project?</i></li> <li>▪ <b>Scope of the project</b> [250 words]: <i>Define the project's intended scope.</i> <ul style="list-style-type: none"> <li>- <i>Which share of the (sub-)sector the project intends to target? How does this relate to the overall GHG emission reduction potential in the (sub-)sector?</i></li> <li>- <i>How would the intended scope induce a change towards sustainable carbon-neutral development in the (sub-)sector in the country?</i></li> </ul> </li> <li>▪ <b>Target group(s)</b> [100 words]: <i>Define the target group (e.g. public actors such as municipal authorities, employees of governmental institutions etc.; private sector, incl. informal sector; households, etc.).</i> <ul style="list-style-type: none"> <li>- <i>Indicate estimated numbers of people/households targeted by the project</i></li> <li>- <i>Consider specifically the situation of the informal sector as well as opportunities / challenges for promoting gender equality</i></li> <li>- <i>What will have changed for the target group(s) as a result of the project?</i></li> </ul> </li> </ul> <p><b>If you're targeting a piloting modality according to chapter 6 of the General Information Document (GID) please explain what the pilot stage of the project will entail and what scope it will have.</b></p>
<b>2.4 Proposed technologies/practices</b> [~ 400 words]	<p><i>Describe the <b>technologies/practices</b> to be supported.</i></p> <ul style="list-style-type: none"> <li>- <i>What are the technical features (e.g. capacities, size) of the proposed technology / practice? Is the proposed technology / practice available on the national market? If you are piloting a novel technology, please clearly state that and explain the technology.</i></li> <li>- <i>Please explain why the technology / practice is suitable to achieve the desired GHG emissions mitigation effect, e.g. in comparison to the business-as-usual as well as alternative technologies / practices.</i></li> <li>- <i>Are there any experiences and pilots with regard to the proposed technology / practice in the country where the project is to be implemented? If so, describe briefly these experiences</i></li> </ul>

	<p><i>and/or pilots. If there are no experiences in the country of implementation, please explain your assumption why the technology / practice will work in the proposed country of implementation.</i></p> <p><b>If you're targeting a piloting modality according to <i>chapter 6 of GID</i> please clearly explain the novel technology, describe experiences with it in the country of implementation and / or globally. If there are no experiences in the country of implementation, please explain your assumption why the novel technology will work there.</b></p>
<p><b>2.5 Financial mechanism and business case/ model</b></p> <p>[~ 500 words]</p>	<ul style="list-style-type: none"> <li>▪ <b>Business case/model related to the proposed technologies/practices [~ 300 words]</b> Describe the business case/model related to the proposed technologies/practices. <ul style="list-style-type: none"> <li>- Why and how will the business model work (investments and interest from the private and public sector)? In particular, how will the funding from the Mitigation Action Facility kick-start the market? Please relate your response to the market barriers listed in section 2.2 and the overall project context.</li> <li>- If the information is available at this stage, what are the capital (CAPEX) and operational (OPEX) costs of the proposed technology / practice and how do they compare to the currently used technology / practice (incremental costs)? Are the (investments into) new technologies / practices already affordable?</li> <li>- What kind of incentive(s) (regulatory or financial) is needed to make the new technology / practice competitive on the market and/or to motivate end-users / investors to use or buy it? How are investments into the currently used technologies / practices financed? Would the same apply for the new technologies / practices proposed by the project?</li> </ul> </li> <li>▪ <b>Financial support mechanism(s) [~ 200 words]</b> <ul style="list-style-type: none"> <li>- What financial mechanism will the project be operating with? Describe briefly each of the proposed financial instruments and its envisioned role in transforming the market? What is the intention with regard to the identified barriers and business models? Please relate your response to the market barriers listed in section 2.2 and the overall project context.</li> <li>- What the total volume of the financial mechanism will be? How will it be split between the proposed financial instruments?</li> </ul> </li> </ul>
<p><b>2.6 Technical assistance (TA) measures</b></p> <p>[~ 350 words]</p>	<p>Describe <b>technical assistance measures</b> targeting regulation and/or the policy framework conditions and other activities (capacity building, awareness raising etc.).</p> <ul style="list-style-type: none"> <li>- What technical assistance measures does the project plan to implement (list the key activities)?</li> <li>- How do the proposed measures relate to the identified barriers and business model?</li> <li>- How do the proposed measures address needs and / or behavioural barriers of the target group(s)? How will the proposed measures facilitate gender equality?</li> </ul>
<p><b>2.7 Embedding</b></p> <p>[~ 350 words]</p>	<ul style="list-style-type: none"> <li>▪ <b>National climate policy context &amp; sector policies</b> <ul style="list-style-type: none"> <li>- Please describe the relevance of the project and the (sub-)sector for the implementation of Nationally Determined Contributions (NDCs).</li> <li>- Please describe the current (sub)-sector policy and development plan.</li> </ul> </li> <li>▪ <b>Related initiatives, programmes and projects</b> <ul style="list-style-type: none"> <li>- Please list and briefly describe other previous or ongoing projects/activities in the (sub)-sector targeted.</li> </ul> </li> </ul>
<h3>3 Project Ambition</h3>	
<p><b>3.1 Potential for transformational change</b></p> <p>[~ 500 words]</p>	<p><i>Transformational Change has the following definition at the Mitigation Action Facility: "Catalytic change in systems and behaviours resulting from disruptive climate actions that enable actors to shift to carbon-neutral pathways".</i></p> <p>Please describe the potential for transformational change with regard to the following categories:</p> <ul style="list-style-type: none"> <li>• <b>Demonstration effect</b> - How will the project ensure systemic dissemination of key lessons and results to promote long-term stakeholder buy-in?</li> <li>▪ <b>Catalytic effect and systemic change</b> <ul style="list-style-type: none"> <li>- How will this project achieve a catalytic effect?</li> </ul> </li> </ul>

	<ul style="list-style-type: none"> <li>- <i>How will this project promote systemic change in terms of promoting new market behaviour and economic incentives, catalysing a shift in values, and securing long-term political support?</i></li> <li>- <i>What developments/regulations does the project trigger in order to accelerate or initiate sector development towards a carbon-neutral pathway?</i></li> </ul> <ul style="list-style-type: none"> <li>▪ <b>Replicability/scalability</b> <ul style="list-style-type: none"> <li>- <i>Does the project envisage and enable a scaling up on national/regional level or a replication in other sectors/countries? How will the project achieve this?</i></li> <li>- <i>Is the project's technology/practice relevant and applicable to other contexts? How will the project support this transfer?</i></li> </ul> </li> <li>▪ <b>Sustainability</b> - <i>How does the project significantly and permanently reverse the flow of funds in the country/sector (public budget, private investments) towards a carbon-neutral pathway?</i></li> </ul>
<p><b>3.2 Financial ambition</b></p> <p>[~ 100 words]</p>	<p><i>Please provide a description of the financial ambition of the project, specifically for each of the following categories:</i></p> <ul style="list-style-type: none"> <li>▪ <b>Public sector (incl. potential in-kind contributions):</b> <i>EUR XXX million</i></li> <li>▪ <b>Private sector:</b> <i>EUR XXX million</i></li> <li>▪ <b>Other donors:</b> <i>EUR XXX million</i></li> </ul>
<p><b>3.3 Mitigation potential</b></p> <p>[~ 100 words]</p>	<p><i>What is the envisioned GHG mitigation potential in <u>tCO<sub>2</sub>e</u> (rough conservative estimate, a range of values "from XXX tCO<sub>2</sub>e to XXX tCO<sub>2</sub>e" is acceptable) of the project over the implementation period?</i></p> <p><i>Please briefly outline the methodology including the formula to calculate the mitigation potential.</i></p>

EXAM