

Call for Projects 2024, Concept Phase – Clarification Notes II

Published on 14 February 2024

Content

1	General issues.....	1
2	Eligibility of countries	3
3	Eligibility of Applicants, Applicant Support Partners (ASP) ¹ and Implementation Organisations...	3
4	Eligibility of sectors and technologies	4
5	Eligible support instruments and technologies in projects.....	4
6	Submission of Project Concepts	5
7	Detailed Preparation Phase (DPP).....	5
	Last but not least.....	5

1 General issues

CN II-01 If an Applicant submits a Project Concept without securing other partners, like Local Financial Institutions (LFIs), by when would these partners need to be identified?

All Applicants are encouraged to present their ideas during the Project Concept Phase even when future Project Partners are not entirely defined. If the Project Concept is selected to progress to the next development and selection Phase (i.e. the Outline Phase), at least a tentative selection of partners should be presented as part of the Outline. If a project is approved for the Detailed Preparation Phase (DPP), a final selection and confirmation of interest needs to be secured from all Project Partners by the end of DPP.

CN II-02 If there is already a project funded by the Mitigation Action Facility in the country, can a new Concept still be submitted?

Existence of a project funded by the Mitigation Action Facility does not affect the possibility to submit a Concept as part of the new Call for Projects. This Concept will then be assessed on its own merits, regardless of whether another Mitigation Action Facility project exists in the country or not.

CN II-03 Is it obligatory to include national ministries as Project Partners?

As stated in the [General Information Document](#), Annex 2, national ministry or ministries that due to their mandate are essential for the success of the project of the Mitigation Action Facility, and that endorse the Project Outline and, upon selection for DPP, the Project Proposal are considered "Partner ministries".

¹ For Glossary, please check the [General Information Document \(GID\)](#), Annex 2 or the [website of the Mitigation action Facility](#)

Partner ministry /-ies usually serve as Project Partners and have formalised relationships with the Implementation Organisation (e.g. in a form of a Memorandum of Understanding (MoU) or Implementation Agreement).

CN II-04 Are there any requirements of the Mitigation Action Facility regarding the mandatory forwarding of funds to Project Partners?

Currently, there is no specific regulation of the Mitigation Action Facility with regard to mandatory shares of the project funding that need to be channeled to Project Partners. The distribution of funds shall be guided by the project design and specific country and sector context.

Please note that it is not mandatory for Project Partners to receive some share of the funding provided by the Mitigation Action Facility in order to participate in the project. In contrary, Project Partners may provide co-financing and/or in-kind contributions to support the project implementation.

CN II-05 What is the difference between Project Partners and sub-grantees?

The term Project Partners is broader and may include partners that do not receive a share of funding provided by the Mitigation Action Facility. Project Partners may also serve as co-financiers and/or provide in-kind contributions supporting the project implementation. In case of sub-grantees, this is a group of Project Partners that receive a share of funding provided for DPP or project implementation by the Mitigation Action Facility. For additional explanations, please refer to [FAQ 55](#).

CN II-06 Would our project be eligible if the sector we target is not included in a country's NDC Partnership (NDP) Plan?

For all projects, it is mandatory that the sector they target is explicitly included in the Nationally Determined Contributions (NDC). From experience we know that NDP Plans do include all sectors that are also part of the NDC. Please take a careful look at the NDC to determine whether the sector you target is included there. For further details on alignment with NDP Plans, refer to [FAQ 18](#).

CN II-07 Can funding provided by the Mitigation Action Facility for the project implementation be split between two Implementation Organisations?

If the project is approved for implementation and has two Implementation Organisations, both organisations will receive their respective shares of funding through the Facility Grant Agent (FGA). Their legal obligations will follow the contract concluded with FGA. The Implementation Organisations will then be able to forward some portions of funding to the Project Partners. In case a consortium is formed (the Mitigation Action Facility does not set an upper limit to the number of organisations in a consortium but recommends keeping the number as small as possible), the roles of all partners must be well defined, and a lead consortium member should be identified to become the contractual partner for FGA. This partner serves as the funding recipient and can forward funds to other consortium partners.

Good to know: All consortium partners will be subject to an eligibility check before the grant contract is concluded. A formalised consortium is not a prerequisite for two eligible entities to co-operate under one project. Details of the project's institutional set up can be finetuned and revised during the Detailed Preparation Phase (DPP).

CN II-08 Is procurement of goods used in the project limited to the EU countries only?

Procurement of goods, i.e. equipment for the mitigation technology can be made globally. Compliance with the procurement rules of the Facility Grant Agent must be ensured.

2 Eligibility of countries

CN II-09 Will possible future changes in the DAC List affect the eligibility of a country?

Funds provided by the Mitigation Action Facility and associated investments must qualify as Official Development Assistance (ODA) throughout the implementation period of the project. Possible changes to the DAC list will be considered once they have been made.

CN II-10 Where can I check whether the country we plan a project in is eligible to receive support from the Mitigation Action Facility?

As stated in the [General Information Document](#) and [FAQs](#) published on the webpage of the Call for Project 2024, the Call is open to all ODA-eligible countries. It means countries that are included in the Organisation for Economic Cooperation and Development (OECD) Development Assistance Committee (DAC)-list. For more information refer to [FAQ 35](#).

3 Eligibility of Applicants, Applicant Support Partners (ASP)² and Implementation Organisations

CN II-11 Can a local government or municipality act as an Applicant?

In all Calls for Projects of the Mitigation Action Facility, a local government or municipality can serve as an Applicant and submit a Concept. It is however important to ensure that the proposed project is aligned with national plans and is supported by the relevant ministries.

Also, if a municipality and/or a local government is serving as an Applicant at the Project Concept Phase and their Concept is selected for the Outline Phase, then they will likely need to nominate an “Applicant Support Partner” (ASP), a legal entity, as part of the Outline submission. In case of success in the Outline Phase, this ASP will receive Mitigation Action Facility funding for a Detailed Preparation Phase (DPP).

CN II-12 Can an inter-governmental organisation (IGO) apply?

Yes, generally, an inter-governmental organisation can serve as an Applicant and/or ASP in the Call for Projects 2024. For more details please refer to [FAQs 40-43](#).

CN II-13 Can development banks serve as Applicants?

Yes, development banks can serve as Applicants at the Project Concept Phase. Please consult [FAQs 40 and 43](#).

² For Glossary, please check the [General Information Document \(GID\)](#), Annex 2 or the [website of the Mitigation action Facility](#)

4 Eligibility of sectors and technologies

CN II-14 Would the travel industry be accounted as an industry sector?

Please refer to [FAQ 63](#) for an explanation of what the "industry" sector encompasses.

5 Eligible support instruments and technologies in projects

CN II-15 Can project budget be used to cover CAPEX costs?

Overall, this would fall into the scope of the project's financial mechanism. For example, a (partial) grant may be provided to cover a certain portion of CAPEX costs. You would need to explain why the proposed mechanism is the most suitable in relation to the proposed technology as well as considering the sector and country context. You as an Applicant would also need to outline how the technology would function long-term and how further investments will be mobilised when the CAPEX grant is exhausted. For more information on financial mechanisms, refer to our [factsheet](#).

CN II-16 Can a project funded by the Mitigation Action Facility build on an existing pilot?

A project that is funded by the Mitigation Action Facility can generally build on an existing pilot. It is important to ensure that lessons learnt from that pilot are incorporated in the project design. For the projects focusing on upscaling of existing approaches, it is essential to ensure additionality (i.e. the project does not overlap and/or simply repeat previous or ongoing initiatives). For details refer to [FAQ 69](#).

CN II-17 What kind of activities can be supported with the funding of the Mitigation Action Facility?

Please refer to [FAQs 70-72](#) and [CN I-13](#).

CN II-18 Can a project include multiple mitigation technologies?

In general, it is possible that a project includes and promotes multiple mitigation technologies. Please note that in this case, a business-as-usual situation and barriers hindering the dissemination of each technology type will have to be analysed. If selected for the Outline Phase, the Applicant will also be required to provide business models for each of the proposed technologies and if applicable each of the target groups and investors involved.

CN II-19 If a mitigation technology has been successfully implemented in one country but our project intends to promote this technology in another country, would such project be eligible to receive financing from the Mitigation Action Facility?

Yes, many projects of the Mitigation Action Facility attempt to bring an existing mitigation technology to a new country and context, and create conditions for its long-term successful adoption and dissemination including via financial mechanisms and by creating favourable legislative conditions. Please note that such a technology will not be considered suitable for a piloting modality.

6 Submission of Project Concepts

CN II-20 Considering the limit of a maximum of 10 Concepts per organisation, should we submit all of them centrally through one account or can regional representations / subsidiaries submit Concepts from their individual accounts in the Open Application Platform (OAP)?

Both options are possible, but in case of "decentralised" submissions, coordination with and between regional representations of your organisation would be essential to make sure that the limit of 10 Concepts is not exceeded.

Please note that the limit is applied to organisations as a whole, including their regional representations and / or subsidiaries.

CN II-21 Can we provide a supplementary document to the Mitigation Action Facility after the deadline for the submission of Project Concepts is expired?

No, it is not possible and not necessary. At the Concept Phase, only Concepts submitted via the [Open Application Platform \(OAP\)](#) are assessed, no supplementary materials (even if provided) are taken into account. If the Project Concept is selected for the Outline Phase, then respective Applicants will be able to provide supplementary materials.

CN II-22 Where can I find guidance on the approach to estimating GHG emission reductions at the Project Concept Phase?

In order to support Applicants in estimating GHG emission reductions at the Project Concept Phase, the Mitigation Action Facility has published [Mitigation Guideline for the Project Concept Phase](#).

7 Detailed Preparation Phase (DPP)

CN II-23 What were the average funding levels for DPPs in previous Calls?

Please refer to [FAQ 93](#).

CN II-24 Will funding be provided for the Detailed Preparation Phase (DPP), regardless of whether the project is ultimately approved for implementation?

Yes, financial support is provided to all projects that are approved for DPP. This funding is used for a more detailed preparation and refinement of the selected projects resulting in the submission of a Project Proposal. This funding is provided regardless of whether a project is ultimately approved for implementation.

Last but not least...

This has been the last round of clarifications in the Project Concept Phase of the Call for Projects 2024. We hope, clarifications have been helpful for you in preparing your Project Concepts.

We are looking forward to receiving your Concepts latest **by 29 February 2024, 3pm CET**.