



Mitigation Action  
Facility

On behalf of

Supported by:



Federal Ministry  
for Economic Affairs  
and Climate Action



INTERNATIONAL  
CLIMATE  
INITIATIVE



UK Government



Danish Ministry of Climate,  
Energy and Utilities



CHILDREN'S  
INVESTMENT FUND  
FOUNDATION

on the basis of a decision  
by the German Bundestag

# Webinar III - Most Common Questions

Mitigation Action Facility

19 July 2023

# Welcome to this Webinar

- Thank you for your interest in the Call for Projects in 2023 of the Mitigation Action Facility!
- **Goals for today:**



Understand the submission process through OAP



Review the most common questions received to date



Answer additional questions and point to useful resources

# The Mitigation Action Facility Webinar Team



Mónica Silva



Nina Mitiaieva



Claudemir da Silva

# Before We Start...

- Take a minute to answer a few questions in a poll

# Structure of the Webinar

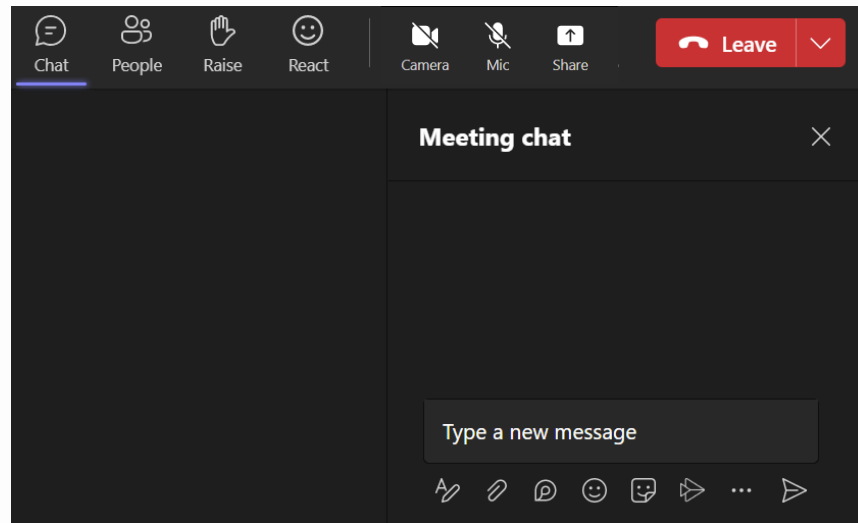
1. Technical overview
2. Submission of a Project Concept in OAP
3. Q&A statistics
4. Most common questions (and answers)
5. Useful resources and next steps

# Structure of the Webinar

1. **Technical overview**
2. Submission of a Project Concept in OAP
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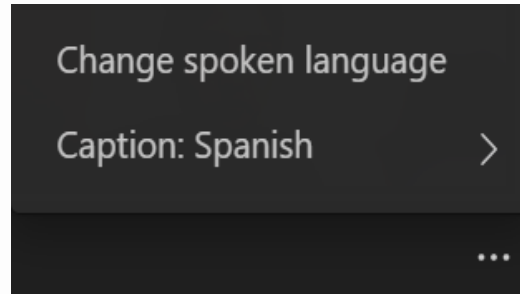
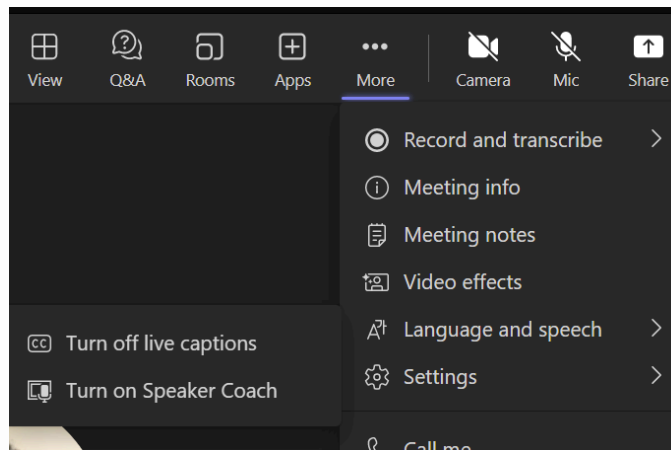
# Technical Overview

- Welcome to a Microsoft Teams event!
- Control panel in the top right corner of your screen:
  1. You are muted automatically
  2. Submit your questions in the chat



# Technical Overview - Subtitles

- Control panel in the top right corner of your screen:
  1. Click on 'More'
  2. Click on 'Language and speech' --> 'Turn on live captions'
  3. On the right side, click on the three dots ('...') to change caption language





# Structure of the Webinar

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**LAUNCH OF THE CALL:**

Mitigation Action Facility side event at  
the Global NDC Conference (2 June  
2023, 10am CEST)

**PROJECT CONCEPT PHASE (MANDATORY):**

2 June – 31 July 2023

**ASSESSMENT AND SELECTION OF PROJECT CONCEPTS:**

August-September 2023

**PROJECT OUTLINE PHASE:**

1 October – 31 December 2023

**ASSESSMENT AND SELECTION OF PROJECT OUTLINES:**

January-March 2024



## Welcome to the Open Application Platform of the Mitigation Action Facility Call for Projects 2023!

On this platform, you can submit and centrally manage your application.

All information on the Call for Projects 2023, including the Call process and key deadlines can be found on the [Current Call webpage](#) of the Mitigation Action Facility as well as in the [General Information Document \(GID\)](#).

Prior to the final submission of the Project Concept, you can save it as a draft at any time and retrieve and edit it again at a later date within the respective application deadline. At any time of the application process the download of the form is possible from your dashboard.

**The OAP is open from 10am on 2 June until 3pm on 31 July 2023 (all times CEST)!**

### Log in

Fields marked with asterisks (\*) are required

Email address \*

Password \*

Login

[Forgot my password](#)

### Create an account

To be able to submit applications, you need a user account. By registering, you accept the terms of use and privacy policy. In case of technical difficulties, please contact [oap\(at\)mitigation-action.org](mailto:oap(at)mitigation-action.org).

Create an account



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## Dashboard

### Master data

Company name

Contact person

Address

Country

Telephone

Company email

[Edit master data](#)

### Call for Projects 2023 of the Mitigation Action Facility

The Call has a funding volume of up to EUR 100 million and focuses on three priority sectors of energy, industry and transport. The submission of a Project Concept is the first step in the project selection cycle.

Active until: 31.07.2023 - 15:00:00

[+ Create new concept](#)



## Call for Projects 2023 of the Mitigation Action Facility

no title set for this application

### Useful tips

1 General Information

2 Project Concept

3 Project Ambition

Questionnaire

Overview and Submit

### Useful tips

When filling out the form in the Open Application Platform (OAP), please consider the following:

- Don't use tables
- Don't use graphs
- Bullet points / numbering will not be displayed correctly as paragraphs are not captured by the system
- Formatting (highlighted text; text in bold, italic, underlined text; sub-/superscript etc.) will not be displayed
- Spacing is counted as one character; paragraphs are not counted as characters since they are not captured by the system and are not displayed

In case of any technical difficulties, feel free to reach out to [oap\(at\)mitigation-action.org](mailto:oap(at)mitigation-action.org)

 Save and close  Cancel

Save

Save and next Page



## Call for Projects 2023 of the Mitigation Action Facility

no title set for this application

✓ The application has been saved.

Useful tips

1 General Information

2 Project Concept

3 Project Ambition

Questionnaire

Overview and Submit

### 1 General Information

Fields marked with a \* are mandatory.

#### 1.1 Project Data

##### 1.1.1 Project title \*

Help text for this question. Read more. ▾

##### 1.1.2 Country (or countries) of implementation \*

Save and close

Cancel

Previous Page

Save

Save and next Page



## Call for Projects 2023 of the Mitigation Action Facility

no title set for this application

✓ The application has been saved.

### Useful tips

1 General Information



2 Project Concept



3 Project Ambition

Questionnaire

Overview and Submit

### Questionnaire

Answers to these questions have no influence on the assessment of the Project Concept.

Fields marked with a \* are mandatory.

#### Application process and information sharing

How did you learn about the Call for Projects 2023? \*

- ☐ Website of the Mitigation Action Facility
- ☐ Newsletter of the Mitigation Action Facility
- ☐ NDCP website / in-country facilitator
- ☐ Professional network
- ☐ Other

Save and close

Cancel

Previous Page

Save

Save and next Page





- Overview and submission page offers a holistic view of a Project Concept – carefully check the information provided, you will not be able to modify your Project Concept after submission
- Two mandatory confirmatory statements on the submission and completeness of data
- As soon as the two statements are confirmed, the "Submit" button will be activated
- After submission, the status of your Project Concept on Dashboard will change to "submitted". You will be able to download it as a PDF and / or MS Word file



- Timely submission by **3 p.m. CEST on 31 July 2023**
- Focus on one of the three priority sectors – **energy, industry** or **transport**; or a **cross-sectoral project** linked to one of the priority sectors
- Completeness of information (all mandatory fields in OAP are filled out)
- Information provided in English
- **ODA-eligibility** of the country according to the OECD DAC-list
- Funding volume requested from the Mitigation Action Facility for project implementation: **EUR 5-25 million** excluding DPP funding
- Envisaged **implementation duration of 3 - 5 ½ years**

# Structure of the Webinar

1. Technical overview
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- **Two webinars** prior to the Call launch, **18 FAQs** published in advance on the Mitigation Action Facility website
- **44 questions** answered based on the requests received during the first two webinars
- After the launch of the Call, **26 responses** provided to your questions in two rounds of clarifications
- The third and last round of clarifications ends on 19 July – all questions received before the deadline will be answered in **Clarification Notes #3 published on 26 July**



- Questions concerning eligibility of various entities to submit a Project Concept
- Questions around budgets and forwarding of funds to partners during implementation
- Questions on cross-sector projects and requests to confirm eligibility / suitability of a project idea on an individual basis
- Questions on eligibility of cross-country / regional projects
- Questions on project characteristics – mitigation VS adaptation, types of TA, financial leverage, etc.

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# Most common questions

## FAQ (1)

**Q1: If an Applicant submits a Project Concept without securing other partners, is there a process to connect the Applicant to relevant ministries and / or partner financial institutions?**



# FAQ (1)

**Q1: If an Applicant submits a Project Concept without securing other partners, is there a process to connect the Applicant to relevant ministries and / or partner financial institutions?**

**A:** All Applicants are encouraged to present their ideas during the Project Concept Phase even when future Project Partners are not entirely defined.

If the Project Concept is selected to progress to the next assessment phases (i.e. the Outline Phase and ultimately, the Proposal Phase), the Technical Support Unit (TSU, the Mitigation Action Facility secretariat) will assist the Applicant to ensure that a suitable consortium is identified.

## FAQ (2)

**Q2: Will the Call for Projects 2023 of the Mitigation Action Facility consider projects that integrate revenues from the sale of carbon credits with the grant funding provided by the Facility?**

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**Q2: Will the Call for Projects 2023 of the Mitigation Action Facility consider projects that integrate revenues from the sale of carbon credits with the grant funding provided by the Facility?**

**A:** At this time, the Mitigation Action Facility is in the process of refining its position on collaborating with carbon markets. To better understand the level of interest from potential Applicants in combining the Facility's funding with carbon credit revenues, we are using the Project Concept Phase as an opportunity to explore the various approaches that are available. As such, we are welcoming all project ideas, including those that engage with carbon markets, during the Project Concept Phase. At this stage, Applicants are invited to present their ideas without limitations as the Mitigation Action Facility looks forward to seeing what innovative concepts may emerge.

## FAQ (3)

**Q3: Would a project focusing mainly on feasibility studies and/or further project development activities be eligible for support of the Mitigation Action Facility?**

## FAQ (3)

**Q3: Would a project focusing mainly on feasibility studies and/or further project development activities be eligible for support of the Mitigation Action Facility?**

**A:** The need to conduct comprehensive feasibility studies at either the Detailed Preparation Phase (DPP) or during the Implementation Phase of the project would raise concerns regarding the readiness of the proposed intervention overall. In DPP, feasibility studies can be supported in limited cases only if specific details still need to be clarified in regard to, e.g. the financial mechanism or the assessment of economic, social and environmental impacts of a project. However, the overall technological and economic feasibility should be analysed already before a Project Concept is proposed to the Mitigation Action Facility.

## FAQ (4)

**Q4: Are there any requirements of the Mitigation Action Facility regarding the mandatory budget shares of the Implementation Organisation and Project Partners?**

## FAQ (4)

**Q4: Are there any requirements of the Mitigation Action Facility regarding the mandatory budget shares of the Implementation Organisation and Project Partners?**

**A:** Currently, there is no specific regulation of the Mitigation Action Facility with regard to mandatory shares of the project funding that need to be channelled to an Implementation Organisation and/or Project Partners. The distribution of funds shall be guided by the project design and specific country and sector context.

## FAQ (5)

**Q5: Does the Mitigation Action Facility apply any minimum ratio for funding requested for the financial cooperation (FC) and technical cooperation (TC) components?**



## FAQ (5)

**Q5: Does the Mitigation Action Facility apply any minimum ratio for funding requested for the financial cooperation (FC) and technical cooperation (TC) components?**

**A:** No, the Mitigation Action Facility does not apply a minimum ratio between the requested funds for financial and technical support to cater for the different needs of support in different countries and sectors. Nevertheless, it is expected that the project can demonstrate that the TC funding provided by the Mitigation Action Facility leverages funding from other sources for investments into net-zero technologies.

While in the current portfolio of projects, the average TC to FC support ratio is 40:60, in future projects the Mitigation Action Facility aims to increase this ratio in favour of FC support.

## FAQ (6)

**Q6: The Mitigation Action Facility can cover the cost of the Detailed Preparation Phase (DPP). Should Applicants indicate the envisioned DPP cost in the Project Concept? What were the average funding levels for DPPs in previous Calls?**

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**Q6: The Mitigation Action Facility can cover the cost of the Detailed Preparation Phase (DPP). Should Applicants indicate the envisioned DPP cost in the Project Concept? What were the average funding levels for DPPs in previous Calls?**

**A:** Applicants will be required to provide the DPP budget and DPP concept upon selection for the Project Outline Phase. In the Project Concept, there is no need to include the envisioned DPP costs. Please note that there is no budget limit for DPP. Nevertheless, it should be kept in mind that large budgets requested for the detailed preparation could be an indicator that the project is actually not ready for implementation. The appropriateness of the requested funding will be assessed on a case-by-case basis. As part of the finalisation of the DPP concept before a funding agreement is concluded, amendments to the budget might be necessary and will be negotiated with the Applicant or Applicant Support Partner. The budget as part of the DPP concept is subject to approval by the Board. During the DPP itself, increases to budgets are not foreseen.

The average funding support requested by projects in previous Calls for their DPP was approximately EUR 250,000. Please note that the requested funding volume and timeframe for the DPP is an indication of the project's level of readiness.

## FAQ (7)

**Q7: Alignment with NDC Partnership is listed as one of the required project characteristics, what steps can we take to align our technical assistance or financial support with the NDC Partnership?**

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**Q7: Alignment with NDC Partnership is listed as one of the required project characteristics, what steps can we take to align our technical assistance or financial support with the NDC Partnership?**

**A:** The [NDC Partnership](#) supports member countries to develop and/or strengthen national government-owned plans to inform the implementation of the NDCs. Based on the NDCs submitted by countries to the UNFCCC, as well as other relevant government policies and strategies, these plans – often referred to as Partnership Plans, NDC Implementation Plans or NDC Implementation Frameworks – comprise priority cross-cutting and sector-specific actions and estimated costs. They serve as frameworks to coordinate, scale up and track the resources mobilised for NDC implementation, and inform programming priorities of partners that support NDC implementation.



The Partnership also supports countries to develop Programmatic approaches to resource mobilisation through NDC Investment Planning. Alignment with these Plans and the Request for Support Letters (RSL) can be evidenced by showing a direct connection between the proposed Mitigation Action Facility project and specific components of the plan (i.e., Outcomes, Outputs, priorities, etc.) or the RSL. Some of the Partnership Plans and NDC Implementation Plans supported by the Partnership are hosted in the Online Partnership Plan Tool (OPPT) and can be [accessed here](#). [01. Country Folders](#) also include the plans that are not on the OPPT.

Additionally, information on the Partnership's engagement with member countries can be found on [01. Country Folders](#) and through engagement with NDC Partnership government Focal Points and [In-country Facilitators](#). The contact at the NDC Partnership Support Unit to connect with the NDC Partnership Focal Point and/or Facilitator is David Levy ([david.levy@ndcpartnership.org](mailto:david.levy@ndcpartnership.org)).

## FAQ (8)

**Q8: What is the difference between the Applicant and Implementation Organisation and who is doing what during which phase?**

## FAQ (8)

**Q8: What is the difference between the Applicant and Implementation Organisation and who is doing what during which phase?**

**A:** The Applicant is the institution submitting a Project Concept and, upon selection, a Project Outline to the Mitigation Action Facility. The Applicant (or ASP) will become the contracting partner for DPP and develop the Project Proposal (jointly with the Implementation Organisation) during DPP.

The Implementation Organisation will then submit the Project Proposal to the Mitigation Action Facility. The Implementation Organisation needs to be identified during the first three months of DPP at the latest. The Implementation Organisation will become the contractual partner for the potential Implementation Phase of the project, and it will be responsible for executing the project.

The distinction between the roles of an Applicant / ASP and an Implementation Organisation was introduced to extend the possibility of participating in the Calls of the Mitigation Action Facility to entities that have the experience and capacity to design projects without necessarily having the mandate, experience or capacity to implement them. If the project is approved for implementation, an entity serving as an Applicant / ASP can become a Project Partner, e.g. if it does not have sufficient capacities yet to serve as an Implementation Organisation itself.



## FAQ (9)

**Q9: At which point in time, should the Implementation Organisation and/or Project Partners be defined? Can these be changed later?**

## FAQ (9)

**Q9: At which point in time, should the Implementation Organisation and/or Project Partners be defined? Can these be changed later?**

**A:** A suitable Implementation Organization shall be nominated within the first three months into the DPP at the latest. Key Project Partners shall be indicated already at the Project Concept Phase (even if tentatively). A firmer commitment and higher level of certainty regarding the envisioned Project Partners is expected in the Project Outline. If the project is selected for DPP and further project elaboration showcases the need in changing Project Partners and/or adding new ones - it can be done upon justification.

## FAQ (10)

**Q10: Can an Applicant submitting a Project Concept also potentially serve as an Implementation Organisation?**

## FAQ (10)

**Q10: Can an Applicant submitting a Project Concept also potentially serve as an Implementation Organisation?**

**A:** Yes, an Applicant can serve as an Implementation Organisation if the Project Concept successfully passes the Project Outline Phase and is selected for a Detailed Preparation Phase (DPP).

Please note that an Applicant in this case shall be able to fulfil certain capacity and eligibility criteria applicable to Implementation Organisations (see GID chapters 3.4, 5.2.2 and Annex 3).

## FAQ (11)

**Q11: The GID defines several capacity requirements that (non-governmental) Applicants, ASPs and Implementation Organisations need to comply with for being eligible to receive funding from the Mitigation Action Facility. How strict is the Facility on the compliance with those requirements, especially the one on implementation experience with a certain number of projects of a similar funding size?**

# FAQ (11)

**Q11: The GID defines several capacity requirements that (non-governmental) Applicants, ASPs and Implementation Organisations need to comply with for being eligible to receive funding from the Mitigation Action Facility. How strict is the Facility on the compliance with those requirements, especially the one on implementation experience with a certain number of projects of a similar funding size?**

**A:** Applicants, ASPs and Implementation Organisations need to meet all of the capacity criteria included in the respective sections of GID for being eligible to receive Mitigation Action Facility funding (GID, section 3.4, 5.1.1, 5.2.2 and Annex 3). Please note that the capacity requirements for Implementation Organisations are higher than those for Applicants / Applicant Support Partners. The distinction between the two roles was introduced to extend the possibility of participating in the Calls of the Mitigation Action Facility to entities that have the experience and capacity to design projects without necessarily having the mandate, experience or capacity to implement them.

Good to know: Several legal entities can form a consortium of ASPs or Implementation Organisations to combine their expertise and experience to comply with the capacity criteria and to provide the necessary sector expertise for implementation.

## FAQ (12)

**Q12: Can a commercial organisation serve as a sub-contractor?**

## FAQ (12)

### **Q12: Can a commercial organisation serve as a sub-contractor?**

**A:** Yes, if a commercial organisation is a designated Project Partner, it can receive a sub-grant which means that some share of funding provided by the Mitigation Action Facility to the Implementation Organisation will be further forwarded to a commercial organisation as a Project Partner. If the commercial organisation is not a Project Partner and is expected to provide services to an Implementation Organisation, a service contract based on public procurement procedures comparable to those applicable to the Facility Grant Agent, should be signed.



## FAQ (13)

**Q13: Would climate adaptation projects be eligible to receive funding from the Mitigation Action Facility or should the projects primarily focus on mitigation?**

## FAQ (13)

**Q13: Would climate adaptation projects be eligible to receive funding from the Mitigation Action Facility or should the projects primarily focus on mitigation?**

**A:** The focus of projects should be on mitigation, not adaptation. However, in certain situations, adaptation measures could be a relevant co-benefit of the mitigation project and as such be considered a driver for transformational change. Each project will be assessed on its mitigation potential and potential for transformational change. For details on the assessment criteria, see GID chapters 5.1.3 (Project Concepts) and 5.1.7 (Project Outlines).

## FAQ (14)

**Q14: Could you elaborate more on the eligibility of non-priority sectors?**

## FAQ (14)

### **Q14: Could you elaborate more on the eligibility of non-priority sectors?**

**A:** For projects in non-priority sectors (e.g. waste and wastewater treatment; agriculture, forestry and other land use (AFOLU); urban planning; etc.) it will be mandatory to demonstrate a link to one of the priority sectors (energy, industry or transport). Projects targeting food processing as well as agricultural projects focusing on the entire value chain can be considered in the Call if the interventions also include an energy-related component (EE, self-supply RE, etc.) and/or a further optimisation of resource use and industrial processes that directly lead to emission reductions. Please note that such an intervention must be aligned with and ideally prioritised in NDCs.

**Time for your questions...**

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Mitigation Action  
Facility

## General Information Document (GID)

Available in:

- [English](#)
- [Spanish](#)
- [French](#)

## General Information Document

Call for Projects 2023  
Mitigation Action Facility

30 March 2023



Mitigation Action  
Facility

On behalf of

Sponsored by



and the Bank of Germany  
as the National Bank



[Webpage of the Call for Projects 2023](#)



[Webinars I and II \(26 April, 17 May 2023\) – recording, slide deck](#)



[Factsheet on Financial Mechanisms under the Mitigation Action Facility](#)



[Factsheet on Transformational Change](#)



[Contract Templates for Detailed Preparation Phase \(DPP\)](#)



[Paper „Driving Sectoral Decarbonisation“](#)



[Mitigation Action Facility's Gender Vision](#)



[Mitigation Guidelines at the Project Concept Phase](#)



[FAQs from webinars I and II with Clarification Notes #1 and #2](#)





- **Webinar recording** on our website **in the next few days, presentation already online**
- Publication of **Clarification Notes**
  - Clarification Notes #3 – 26 July 2023 (for questions received until 19 July, 23:59 CEST)
- Mitigation Action Facility website: **[dedicated page for the Call for Projects 2023](#)**
- Contact the TSU at **[contact@mitigation-action.org](mailto:contact@mitigation-action.org)**
- Subscribe to the newsletter under **[www.mitigation-action.org/newsletter/](http://www.mitigation-action.org/newsletter/)**

**Please remember that the deadline for submitting Project Concepts under the Call for Projects 2023 is 31 July 2023, 3pm (CEST)**

# We value your feedback...

- Take a minute to take a survey and answer a few questions
- For that, follow the link published in chat, or insert the following URL in the browser's address panel:

<https://forms.office.com/e/z5T8qV6AvV>



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On behalf of

Supported by:



Federal Ministry  
for Economic Affairs  
and Climate Action



INTERNATIONAL  
CLIMATE  
INITIATIVE



UK Government



Danish Ministry of Climate,  
Energy and Utilities



CHILDREN'S  
INVESTMENT FUND  
FOUNDATION

on the basis of a decision  
by the German Bundestag

# Thank you!

## Contact

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10963 Berlin

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[contact@mitigation-action.org](mailto:contact@mitigation-action.org)

